

Would you like to work as a **Senior Compliance Officer** and be responsible for all compliance matters regarding our Structured Corporate Finance business line?

Then you are the person we are looking for!

What you will do?

As a Senior Compliance Officer, you are part of our Compliance Department which ensures that Morgan Black Partners and its clients comply with all applicable laws and regulations, as well as supervisory requirements and internal policies and procedures. In this role you will lead the activities of the compliance function with regards to compliance initiatives in the Netherlands. The definition of 'compliance' within Morgan Black Partners covers much more than rules. Our compliance agenda is not solely driven by regulations; we take time and effort to ensure that our processes are fit for purpose in order to understand and manage our risks. We focus on 'soft controls' instead of checklists and strongly encourage our employees to think for themselves. As every client is different, we look for a balanced approach rather than relying on rigid procedures and checklists. In this role, you will work closely with our compliance team and make sure Morgan Black Partners complies with local laws and regulations on a client level. You will report directly to the relevant partner. You will be part of our community, maintaining Morgan Black Partners' standards of business integrity.

Other responsibilities are:

- Oversight of the compliance and risk management activities in the Netherlands
- Sparring partner for senior management
- Implementation of the risk control framework in the legal entities
- Ad-hoc advice and guidance to the team on the full range of compliance topics, including AML, sanctions, privacy, conflicts of interest, outsourcing, licensing etc. in accordance with the relevant regulations (e.g. WTT, WWFT, Securitizations Directive, AFM etc.)
- Support to the finance and legal account managers and other compliance officers, in both the client acceptance process and client file reviews, ensuring accuracy and completeness of the client files

- Assisting legal and finance account managers with compliance issues during the client's life cycle (client acceptance due diligence, transaction monitoring, periodic reviews, and exits)
- Continuous liaising with management to ensure appropriate action is taken to address identified issues
- Participation in Client Acceptance Meetings, Internal Compliance Meetings, Business Compliance Meetings and Compliance Management Meetings

How do you fit in the team?

As a Senior Compliance Officer, you need to manage ad-hoc activities (e.g. client acceptances, urgent requests and legal advices) next to your other compliance tasks, projects and daily work. In this position, you need to prioritize what is important, have a hands-on and independent work mentality and be able to deal with stress. A helicopter view is required, since you are responsible for the complete compliance framework of the business line. You are proactive, know what you are capable of, possess good communication skills and are not afraid of asking questions.

Other relevant qualifications for this profile:

- Master's in law degree supplemented with a compliance degree;
- Minimum of 5 years of relevant compliance experience in the financial services industry;
- Familiar with compliance systems and processes;
- Experience with compliance issues relating to regulatory and governance requirements
- Understanding of risk management;
- Affinity with integrity, culture and governance requirements;
- Strong organizational and effective communication skills;
- A professional and hands-on work mentality;
- Excellent communication skills in both Dutch and English (both orally and in writing);

-Compliance experience in the structured finance & capital markets industry is a pre;

Do you recognize yourself in this profile?

We can't wait to meet you! If you have any questions about this position or about please contact us by email at info@morganblackpartners.com